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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/554,835	07/05/2000	HANS PROPPERT	HARMSEN002	8966	
530	7590 08/27/2004		EXAM	EXAMINER	
LERNER, DAVID, LITTENBERG,			MARX, IRENE		
KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST		ART UNIT	PAPER NUMBER		
WESTFIELD, NJ 07090			1651		
			DATE MAILED: 08/27/2004	<b>1</b>	

Please find below and/or attached an Office communication concerning this application or proceeding.



## **Advisory Action**

Application No.	Applicant(s)		
09/554,835	PROPPERT, HANS		
Examiner	Art Unit		
Irene Marx	1651		

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 16 August 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

Examination (RCE) in compliance with 37 CFR 1.114.	
PERIOD FOR REPLY [check either a) or b)]	
a) The period for reply expires <u>4</u> months from the mailing date of the final rejection.	
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).	. Ir
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).	ion
1. A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.	
2. The proposed amendment(s) will not be entered because:	
(a) 🛛 they raise new issues that would require further consideration and/or search (see NOTE below);	
(b) ☑ they raise the issue of new matter (see Note below);	
(c) ☑ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or	<b>)</b>
(d) $\square$ they present additional claims without canceling a corresponding number of finally rejected claims.	
NOTE: <u>see attachment</u> .	
3. Applicant's reply has overcome the following rejection(s):	
4. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).	
5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for reconsideration has been considered but does NOT place the application in condition for allowance because: see attachment.	
6. The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.	
7. For purposes of Appeal, the proposed amendment(s) a) will not be entered or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.	
The status of the claim(s) is (or will be) as follows:	
Claim(s) allowed:	
Claim(s) objected to:	
Claim(s) rejected: <u>3, 8, 14, 17, 19 and 21</u> .	
Claim(s) withdrawn from consideration:	
8. The drawing correction filed on is a) approved or b) disapproved by the Examiner.	
9. Note the attached Information Disclosure Statement(s)( PTO-1449) Paper No(s)	
10. ☐ Other:	
Irene Marx Primary Examiner	

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Note:

The proposed amendment raises new issues that would require further consideration and/or search with respect to the amendment to claim 8 to change "at least about 10" to "10 to 13", including issues of new matter.

## Response to Arguments

Applicant's arguments have been fully considered but they are not deemed to be persuasive.

Applicant argues that the basis is found at page 9. However, the material cited pertains exclusively to administration 10-13 days after birth. The claim as written is devoid of this limitation. Please see *Gentry Gallery v. Berkline* 45 U.S.P.Q.2d 1498 for a discussion related to broadening the claimed invention without support in the as-filed specification. Please see *PurduePharma v. Faulding* 56 U.S.P.Q.2d 1481 for a discussion related to a failure to describe a claimed generic concept in the narrative portion of the specification, but rather basing support on limitations in examples.

In addition, applicant's definition of "effective amount" being an amount that achieves the stated objectives does not provide sufficient guidance to one of ordinary skill in the art about the amount intended. In response to Applicant's argument that "therapeutically effective amount" should be "read in the context of the present invention", applicant misinterprets the principle that claims are interpreted in the light of the specification. Although these elements ("therapeutically effective amounts") are found as examples or embodiments in the specification, they were not claimed explicitly. Nor were the words that are used in the claims defined in the specification to require these limitations. A reading of the specification provides no evidence to indicate that these limitations must be imported into the claims to give meaning to disputed terms.

Applicant's discuss "prevention" in the context of newborn animals. However, the invention as claimed is directed to "ruminants". Counsel's bald argument that "treatment of a disease also comprises prevention of said disease" because the comparable of identical dosages of the active agent are given, has not been substantiated with appropriate evidence. Is counsel alleging that "preventing" and "treating" are synonyms? If so, the claim language is redundant.

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The arguments by counsel in this regard have not been substantiated with appropriate evidence. It is well settled that arguments by counsel do not constitute evidence.

In any event, administration of the same strain as taught by the references, regardless of the mode of administration and of the differences between fungi and bacteria, would naturally "prevent" fungal disease from occurring, particularly in the absence of evidence to the contrary.

Therefore the rejections are deemed proper and are adhered to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Irene Marx whose telephone number is (571) 272-0919. The examiner can normally be reached on M-F (6:30-3:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Irene Marx
Primary Examiner

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